

Extrarenal perivascular epithelioid cell tumors (PEComas) respond to mTOR inhibition: Clinical and molecular correlates

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Perivascular epithelioid cell tumors (PEComas) are a group of rare mesenchymal tumors that typically show both melanocytic and smooth muscle cell features. Some types of PEComa are seen at high frequency in tuberous sclerosis complex (TSC). The *TSC1* and *TSC2* genes are commonly mutated in both TSC-associated and sporadic PEComas, and mTOR signaling pathway activation is also common in these tumors. Preliminary reports have indicated that the mTOR inhibitors sirolimus and related drugs have activity in some patients with non-TSC-associated PEComa. Here, we report on the use of these medications in the treatment of five consecutive patients with extrarenal nonpulmonary PEComas seen at one institution. Three complete responses, one partial response and one case of progression were seen. Molecular studies identified *TSC2* aberrations in four of these patients, and *TFE3* translocation was excluded in the resistant case. A review of all published cases as well as those reported here indicates that partial or complete response was seen in 6 of 11 PEComas, with 5 of 6 having a complete response. These findings highlight the consistent though incomplete activity of mTOR inhibitors in the treatment of PEComas.

Sarcomas are a heterogeneous group of malignancies of mesenchymal origin. One subtype of sarcoma is the group of tumors called perivascular epithelioid cell tumors (PEComa), which are made up of cells that are of variable spindle to epithelioid morphology, and typically express both smooth muscle (actin and calponin) and melanocytic (HMB-45, Melan A and MITF) markers.^{1,2} The cell of origin of these tumors is unknown. PEComas include the more benign and relatively common renal angiomyolipoma, as well as pulmonary lymphangioleiomyomatosis (LAM), both of which are commonly seen in patients with tuberous sclerosis complex (TSC).³ However, the spectrum of PEComas also includes rarer tumors of variable malignant potential that typically involve

the lung, as well as the gynecologic and gastrointestinal systems. This latter subset of PEComa is not particularly common in TSC.¹⁻³

TSC1 and *TSC2* are the two genes which cause TSC, a tumor suppressor gene syndrome, characterized by development of mostly benign tumors in multiple organ systems including skin, brain, heart, lungs and kidneys.³ The *TSC1* and *TSC2* genes in TSC follow the classic Knudsen model of single allele germline inactivation combined with somatic second allele loss in TSC-associated tumors.⁴ Biochemical and signaling studies over the past 10 years have defined in increasing detail the critical function of the *TSC1/TSC2* protein complex in the regulation of the state of activation of the mTORC1 kinase complex, through regulation of the activation state of the *rheb* GTPase.³ Thus, TSC lesions typically demonstrate marked enhancement of mTORC1 signaling, and this is also seen in a variety of mouse models of TSC.⁵⁻⁹ Sirolimus (rapamycin) and related compounds are highly effective in TSC mouse models, and this has led to a series of clinical trials in TSC patients for various tumors.^{10,11} These trials have shown significant benefit for both renal angiomyolipoma and LAM, in the latter case in both patients with and without TSC.¹²⁻¹⁵ More limited studies have shown that sporadic PEComa not associated with TSC, including those with locally invasive and/or metastatic behavior, also commonly have mutation of the *TSC2* gene and activation of mTORC1.^{5-7,9} In addition, previous reports on a limited number of patients with nonrenal abdominal PEComas described clinical benefit in those receiving sirolimus or temsirolimus.^{16,17} Here, we describe a consecutive series of five patients with malignant PEComa who were also treated with sirolimus or everolimus, four of whom demonstrated major

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What's new?

Perivascular epithelioid cell tumors (PEComas) are a rare but increasingly recognized subset of mesenchymal tumor that commonly involve mTOR-activating mutations. In this clinical investigation, four out of five PEComa patients benefited significantly from treatment with the mTOR inhibitors sirolimus and everolimus. Two of the patients experienced long-lasting complete responses. Genetic aberrations in TSC2 and positive staining for the protein marker pS6 correlated with response. The results suggest that mTOR inhibitors could be important therapeutic options in PEComa.

clinical benefit, including two with sustained complete responses. We also explore the correlation between response and molecular and pathologic features of these tumors. We then review the current literature on treatment of malignant PEComa with this class of compounds.

Patients and Methods**Patient selection, treatment and clinical assessments**

Five consecutive patients with PEComa who were seen at Memorial Sloan-Kettering Cancer Center (MSKCC) were offered off-label treatment with mTOR inhibitors. Diagnosis was made based on typical histology and positive reactivity with specific markers: SMA (smooth muscle actin) and/or CMA (common muscle actin), and HMB45 (melanocytic marker). None of the patients had signs of TSC or a personal or family history of TSC, apart from one patient who had radiographic evidence of pulmonary LAM but no other TSC features. All patients provided informed consent for treatment. Archival tumors and peripheral blood samples were collected and analyzed in accordance with a protocol approved by the MSKCC Institutional Review Board. The dosage of mTOR inhibitors was determined by the treating physician and was adjusted on the basis of trough levels or patient tolerance. Disease status was assessed by CT scans at baseline and at intervals as determined by the treating physician.

Histologic and immunohistochemical evaluation

Immunohistochemistry (IHC) was performed on 5- μ m tissue sections prepared from formalin-fixed, paraffin-embedded tissue blocks. Slides were deparaffinized and sections were boiled with antigen retrieval solution (Dako) (pH 6.0). Endogenous peroxidase activity was blocked by incubation in 3% H₂O₂ for 10 min at room temperature. Five-percent normal goat serum and 0.1% TritonX in phosphate-buffered saline (PBS) was used for blocking. Antibodies to phospho-S6 (Ser235/236; Cell Signaling Technology 2211; 1:200 dilution) were applied and incubated overnight at 4°C. Immunodetection was performed with DAB (Dako EnVision + kit). Hematoxylin was used as a counterstain and an adjacent section was stained with hematoxylin and eosin.

Genetic analyses

Formalin fixed paraffin-embedded tissue blocks were used for extraction of DNA using the QIAamp DNA FFPE Tissue Kit (Qiagen) according to the manufacturer's instructions. Normal DNA samples were prepared from blood samples for

Patients 1–3, after informed consent, by standard methods. For Patient 4, normal liver tissue adjacent to the paraffin-embedded tumor was used as a control. The most frequently mutated exons in *TSC2* (exons 10, 14, 16, 20, 23, 29, 30, 33, 36, 37, 38, 39 and 40), in which over half of all TSC germline mutations are found, were amplified and sequenced bidirectionally by standard Sanger sequencing.³ Three microsatellite markers (STR3, KG8 and STR7) in the region of the *TSC2* gene were used for loss of heterozygosity (LOH) analyses. Details of the markers, heterozygosity, genomic location, primer sequences, genotyping reactions and analysis have been described.⁷ LOH was determined to be present when there was $\geq 30\%$ reduction of one allele in the PEComa sample in comparison to a control sample.

Fluorescence in situ hybridization (FISH) for TFE3 translocation

The possibility of translocation involving *TFE3* on chromosome Xp11.23 was examined by FISH, using 'break-apart' probes specific for 5'- and 3'-ends of *TFE3*, as described previously.¹⁸ One hundred nuclei showing both signals were examined in each case to avoid false-positive results.

Results

We describe the clinical features and response to treatment for each of five consecutive cases of extrarenal PEComa seen at MSKCC, and treated with sirolimus or everolimus. There were no manifestations of TSC in any of these patients, apart from evidence of pulmonary LAM in Patient 1. There was no family history of TSC in these patients.

Patient 1

Patient 1 was a 24-year-old woman who presented in 2009 with abdominal distention. CT scan showed a 25-cm retroperitoneal mass encasing the aorta and inferior vena cava (Fig. 1a). She had a laparoscopic biopsy showing PEComa. The mass was considered unresectable, and she was treated with sirolimus 4 mg daily. Trough levels ranged from 7 to 17 ng/mL. As the retroperitoneal mass decreased, she developed chylous ascites. A peritoneovenous (Denver) shunt was placed. After 6 months of treatment, only residual retroperitoneal fibrosis remained with near complete disappearance of the tumor (Fig. 1b). CT chest scan showed numerous small (<6 mm) cysts predominantly in the upper lobes consistent with pulmonary LAM. These lesions did not change during treatment and she had no pulmonary symptoms. She

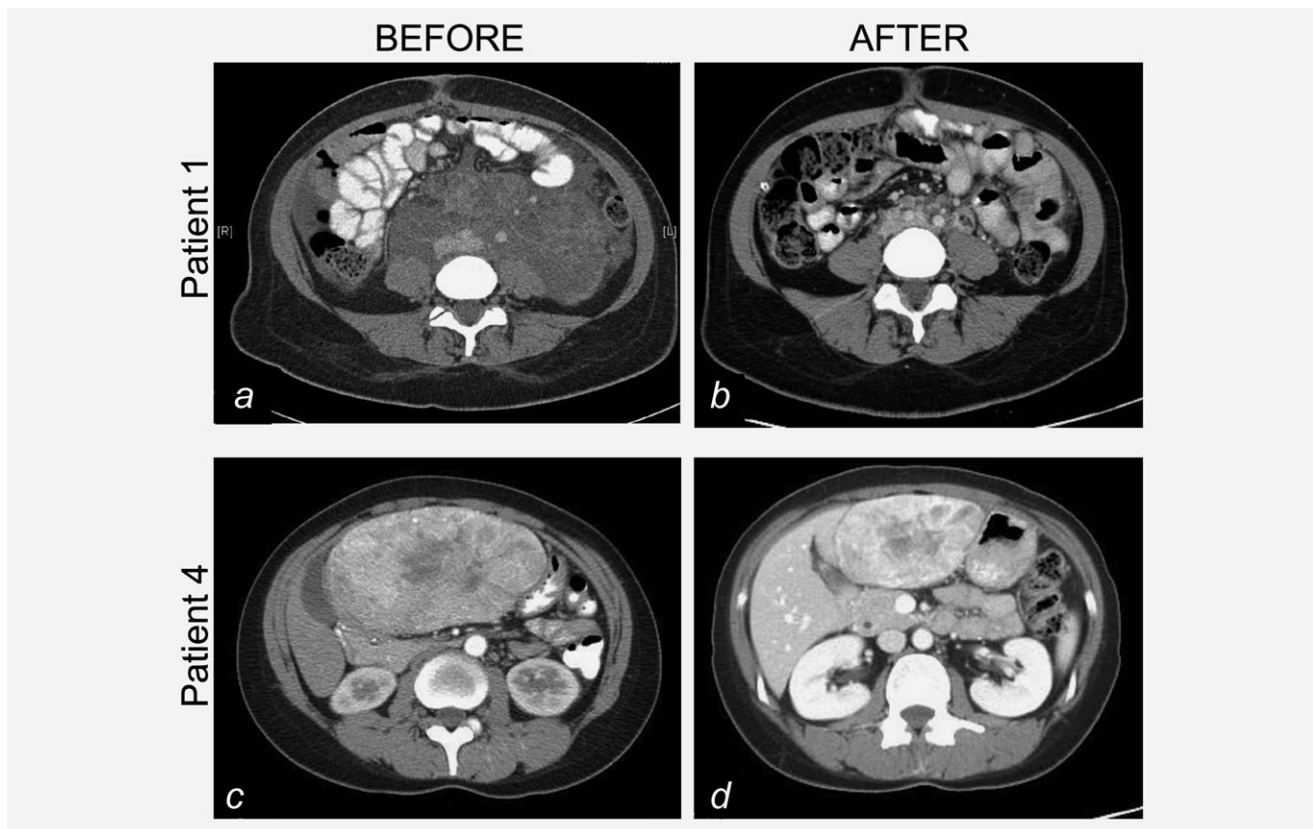


Figure 1. Computed tomography images of two patients (Patients 1 and 4) with PEComa at baseline (a and c, respectively) and after treatment with sirolimus for 6 months (b) or everolimus for 2 months (d).

continues in complete response at 22 months of follow-up on rapamycin at 4-mg PO QD.

Patient 2

Patient 2 was a 40-year-old woman who presented in 2009 with bulky pelvic and retroperitoneal lymphadenopathy. Confluent lymph nodes in the bilateral iliac regions measured 6 cm in maximum diameter. CT-guided biopsy indicated PEComa. Treatment with sirolimus 4 mg daily was initiated but was reduced to 3 mg daily due to diarrhea. Trough levels ranged from 8 to 21 ng/mL. After 8 months of treatment, repeat abdominal and pelvic CT scan showed no evidence of lymphadenopathy, consistent with complete response. The patient developed chylous ascites requiring placement of a Denver shunt. Follow-up scans at 16 months of treatment showed that she continued to have no evidence of disease, and sirolimus continues at 3 mg PO QD. Chest CT scan was normal.

Patient 3

Patient 3 was a 57-year-old man who presented with an acute abdomen in 2009, and was found to have bowel perforation due to a 10.5-cm PEComa of the small bowel, which was resected. Ten months later, multifocal intra-abdominal recurrence was apparent on follow-up scans. Treatment with sirolimus 4 mg

daily was initiated. Significant reduction in the size of all lesions consistent with a partial response was seen 2 months later on follow-up scans. Sirolimus trough levels were 8–21 ng/mL with no significant toxicity. Response and treatment continue at 14 months of follow-up from initiation of sirolimus.

Patient 4

Patient 4 was a 37-year-old woman with a history of lupus erythematosus who developed abdominal pain. A large mass in the anterior liver was detected on CT (Fig. 1c). Fine-needle aspiration showed a PEComa. She was treated with everolimus 5 mg PO daily. There was no significant toxicity and drug levels were not obtained. After 2 months of treatment, CT scan showed a major decrease in the size of the mass (Fig. 1d). The tumor was then resected, and was found to be pseudoencapsulated such that negative margins were obtained. The resected specimen showed major treatment effect including fibrosis and regions of hemorrhage (Fig. 2). At 6 months of follow-up, the patient is well and without evidence of disease. Everolimus was discontinued prior to surgery and has not been restarted.

Patient 5

Patient 5 was a 65-year-old man who presented in 2008 with abdominal pain and was found to have a large adrenal mass

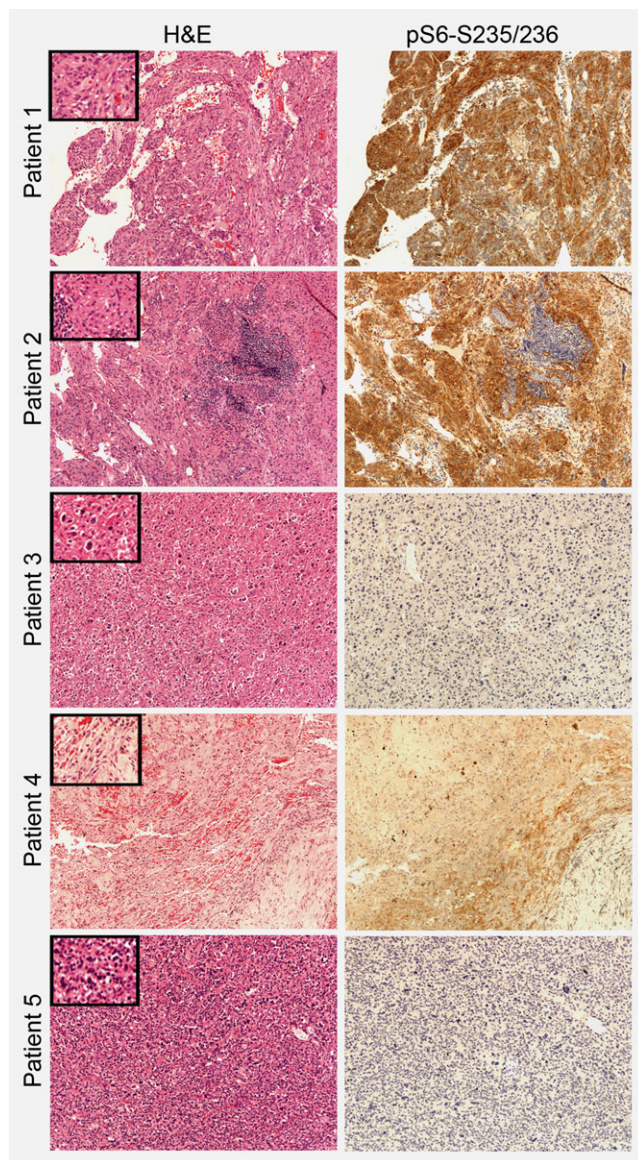


Figure 2. Pathology and IHC analysis of PEComas. H&E staining and immunostaining for phospho-S6 protein (Ser235/236) in all tumors samples ($\times 10$ objective; insets: $\times 20$). PEComas from Patients 1 and 2 are strongly pS6 positive, whereas the PEComas of Patients 3, 4 and 5 are not. In Patient 2, normal lymphoid tissue is negative for pS6-S235/236. In Patient 4, areas of hemorrhage, hemosiderin deposits and variable pS6 staining are apparent, following drug treatment.

and multiple lung and soft tissue metastases on imaging studies. Biopsy of the adrenal mass showed a PEComa. Treatment with sirolimus began at 1 mg daily and was gradually increased to 4 mg daily. Although there was an initial modest decrease in SUV uptake on PET scan (for example, lung mass SUV 3.4–2.2, soft tissue mass SUV 11.3–9.8, but adrenal mass SUV 23.1–25.6), there was no decrease in tumor volume. During continuing treatment, progression of disease was seen. He was then treated with sorafenib 400 mg daily with no benefit. The patient resumed sirolimus as a palliative

approach, but without clear benefit. During this period of sirolimus treatment a subcutaneous metastasis was resected. This sample was used in the analyses described below. The patient declined further systemic therapy and died of metastatic disease 3 years after initial diagnosis.

Pathologic and molecular studies

mTORC activation by IHC. We assessed activation of mTORC1 in PEComa sections from these patients by IHC using the marker protein pS6–S235/236.^{16,17,19} Tissue sections from Patients 1 and 2 showed strong pS6–S235/236 staining indicating mTORC1 activation in the tumors (Fig. 2). In Patient 3, the staining was negative; but this may relate to poor preservation of phosphorylated proteins due to the circumstances of the resection in the setting of bowel perforation. In Patient 4, pS6 staining was difficult to interpret due to extensive necrosis, fibrosis, and hemorrhage as a treatment response to preoperative everolimus treatment. In Patient 5, pS6–S235/236 reactivity was also negative, likely due to concurrent sirolimus therapy at the time of resection.

Mutational analysis of TSC2. Limited analyses of the *TSC2* gene were performed due to limited amounts of DNA available from these PEComas for analysis. Mutational analysis of 13 exons of *TSC2* was performed on tumor DNA samples for all five patients. A nonsense mutation was identified in Patient 4 (c.1073G>A and p. Trp358X, Fig. 3). No other significant variants were identified. Loss of heterozygosity (LOH) analysis in Patients 1–4 (this study could not be performed for Patient 5 due to lack of a control DNA sample) demonstrated that all four samples had reduction in the allele signal for one or more microsatellite markers near *TSC2* (Fig. 3 and Table 1). Allele reduction was modest in samples from Patient 2, consistent with the significant admixture of normal cells in this specimen (Fig. 2). The corresponding normal DNA samples did not contain the *TSC2* mutation (Patient 4), or show evidence of LOH (Patients 1–3) (Fig. 3).

Analysis of TFE3. As *TFE3* translocations have been identified in some PEComas, we performed *TFE3* FISH analysis on the tumor from Patient 5, the single patient that failed to respond to sirolimus/everolimus treatment, and included Patient 2 as a control.²⁰ There was no evidence of *TFE3* translocation in either patient, using a break-apart FISH probe set. In addition, IHC staining for *TFE3* (clone p16, Santa Cruz Biotechnology, CA) was performed on tumor from Patient 4 and it was negative.

Discussion

PEComas are a set of neoplasms that share morphologic features as well as expression of both melanocytic and smooth muscle markers, but otherwise have considerable diversity in organ of development, histologic features, severity, and clinical presentation.^{1,2} Among PEComas, renal angiomyolipomas are both the most common and typically the most benign in terms of clinical presentation, although they are capable of

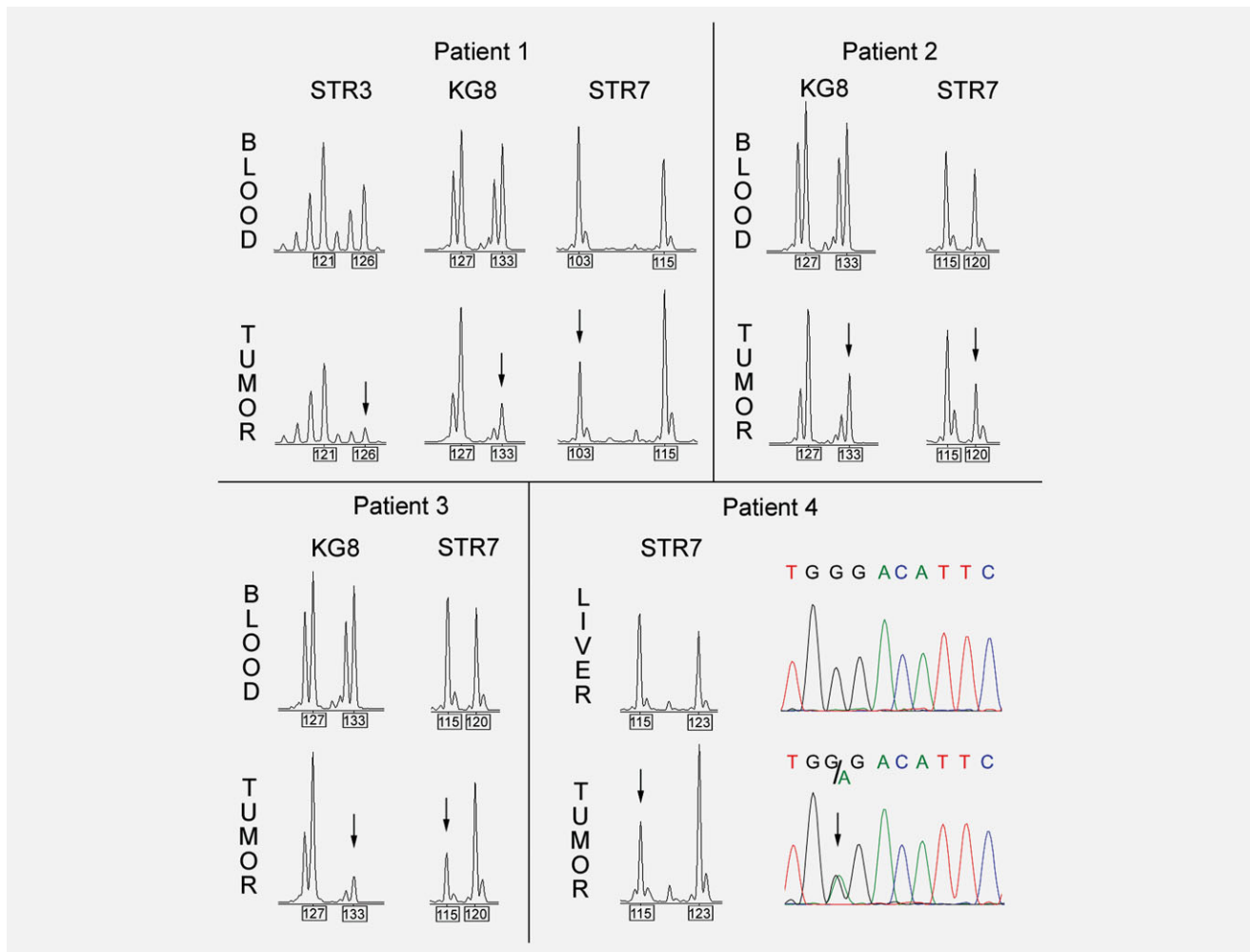


Figure 3. LOH and sequencing analysis of *TSC2* in PEComa. Informative microsatellite chromatograms for STR3, KG8 and STR7 markers near *TSC2* are shown for Patients 1–4. All showed LOH in the tumor compared to normal DNA, with reduction in intensity of signal for one allele, marked with black arrows. The numbers in boxes below the graphs show the size of the alleles in base pairs. Lower right: Sequence traces of liver (control) and tumor DNA from Patient 4 for *TSC2* exon 10 show normal sequence in liver and a nonsense point mutation in the tumor (c.1073G>A, p. 358Trp>X). [Color figure can be viewed in the online issue, which is available at wileyonlinelibrary.com.]

progressive growth leading to loss of renal parenchyma and function, as well as major hemorrhagic events that can be life threatening.³ LAM is also often an indolent slowly proliferative process, but can also progress, leading to respiratory failure, necessity for transplantation, and death.³ Extrarenal abdominal PEComas also have highly variable clinical behavior, but are typically more rapidly progressive. However, reliable prediction of malignant potential on histologic grounds is not currently possible.^{1,2} Here, we describe the treatment of five extrarenal nonpulmonary PEComas that displayed malignant behavior in the form of massive growth, major local invasion with compromise of organ function, and metastases in one instance, with sirolimus or everolimus. Four of the five patients had major, durable responses, including two CRs continuing on therapy at 16–22 month follow-up. One of the other two responders was converted to a CR by resection of residual disease, and the other has a sustained PR continuing on therapy at 16 months of follow-up; one pro-

gressed. It is notable that two patients with CR in this series developed chylous ascites requiring shunting during treatment. This clinical development may be due to an abnormal sclerotic response of the lymphatic vasculature in response to treatment of PEComa that were involving this system. It is important to note that this clinical development did not herald resistance to drug treatment, and instead these patients went on to have sustained clinical responses.

Table 1. Percent reduction in one allele of microsatellite markers STR3, KG8 and STR7 indicating LOH

Marker	Patient 1	Patient 2	Patient 3	Patient 4
STR3	69%	NI	NI	NI
KG8	69%	42%	80%	NI
STR7	58%	39%	65%	59%

NI = not informative means the marker was homozygous in control DNA.

Table 2. Review of published extrarenal PEComas treated with mTOR inhibitors

Case #	Tumor type/ location	mTOR inhibitor	Dose	Duration	Response	Other drugs (combined with mTOR inhibitor)	<i>TSC1/2</i> mutation/ LOH	pS6IHC	References
1	Retroperitoneal PEComa	Sirolimus	8 mg daily	16 months	CR	–	<i>TSC2</i>	+	17
2	Uterine, metastatic PEComa	Sirolimus	2–8 mg daily	6 months	SD, then progression	(Added later) Clarithromycin Sorafenib	<i>TSC1</i>	+	17
3	Uterine, metastatic PEComa	Temsirolimus	25 mg IV weekly	9+ months	CR	–	N/A	+	16
4	Uterine, metastatic PEComa	Temsirolimus	25 mg IV weekly	22 weeks	PR, then progression	–	N/A	+	16
5	Retroperitoneal metastatic PEComa	Temsirolimus	10 mg IV weekly ¹	2 cycles (8 weeks)	Progression	Topotecan, bortezomib (NCT00770731)	N/A	N/A	21
6	Retroperitoneal metastatic PEComa	Everolimus	10 mg daily	2 cycles (8 weeks)	Progression	Figitumumab	N/A	N/A	22
7	Retroperitoneal PEComa	Sirolimus	4 mg daily	22+ months	CR	–	<i>TSC2</i>	+	Case described in this report
8	Retroperitoneal PEComa	Sirolimus	3 mg daily	16+ months	CR	–	<i>TSC2</i>	+	Case described in this report
9	Small bowel PEComa/ recurrent	Sirolimus	4 mg daily	14+ months	PR	–	<i>TSC2</i>	–	Case described in this report
10	Liver PEComa	Everolimus	5 mg daily	2 months	CR (tumor resected after 2 months of treatment)	–	<i>TSC2</i>	+/-	Case described in this report
11	Adrenal PEComa with metastases	Sirolimus	1–4 mg daily	2 years	Progression	–	Not found	–	Case described in this report

Note: Renal PEComa from Ref. 17 was not included.

¹Subbiah V, personal communication.

CR: complete response; PR: partial response; SD: stable disease; N/A: not available.

Table 2 provides a compilation of all published reports of extrarenal nonpulmonary PEComa patients treated with mTOR inhibitors, including the five new cases reported here.^{16,17,21,22} In aggregate these studies strongly support the model that loss of the tumor suppressor gene *TSC2*, or more rarely *TSC1*, is a common event in sporadic PEComa. We identified point mutations and/or LOH in *TSC2* in four of five cases, and combined with previous analyses of *TSC1/TSC2*, six of seven (85%) PEComas showed these hallmarks of *TSC1/TSC2* involvement. IHC staining for pS6-S235/236 correlated with these genetic findings in general, except in cases where prior treatment with an mTOR inhibitor or poor pathologic preservation may have compromised the reliability of this marker. Although the numbers are small, it appears that the combination of genetic findings in *TSC1/TSC2* and staining for pS6-S235/236 may predict response to sirolimus/everolimus, as CRs were seen in four of five (80%) of patients in this

category. Overall, 5/11 (45%) had complete response, 1/11 (9%) had a partial response, and 5/11 (45%) showed progressive disease. In addition, note that one of these patients received combination therapy with a total of three agents, and the dose of temsirolimus was likely suboptimal in this instance.

It is clear that some PEComas are resistant to sirolimus/everolimus treatment, despite optimal conventional dosage regimens, including Patient 5 described here. In this patient, there was no evidence of *TSC2* mutation, although analysis was limited due to lack of a normal specimen for LOH analysis. *TFE3* gene rearrangement was recently reported in a small number of PEComas with unique alveolar epithelioid architecture, and we recently reported that such PEComas have normal expression of *TSC2* and do not have *TSC2* LOH.^{20,23} We examined the PEComa of Patient 5 for *TFE3* rearrangement, and saw no evidence of this event. The mechanisms of resistance to mTOR inhibition in PEComa remain an important area for future research.

However, it is also remarkable that sirolimus/everolimus are so effective in inducing complete remissions in a significant subset of PEComa as single agents, including patients with massive disease. These medications are thought to act as cytostatic agents, inducing cell cycle arrest rather than cell death in multiple types of TSC1/TSC2 deficient cell lines *in vitro*.^{24,25} In addition, although these agents are effective in the treatment of several different types of tumors that occur in TSC patients, they do not induce complete responses, and lesions will typically regrow when the inhibitor is discontinued.^{12,13} Nonetheless, these compounds are highly effective in mouse models of TSC, where a 99% reduction in tumor mass is seen in response to a one month treatment.¹¹ Moreover, the resection specimen of Patient 4 demonstrates that cell death was likely induced in response to everolimus. Thus, overall, among cancer patients, this degree of response to sirolimus/everolimus in PEComas is unprecedented and unexplained, and hence worthy of further clinical investigation as well.

These results clearly support the use of mTOR inhibitors in the treatment of malignant PEComa. Treatment is generally well-tolerated and can lead to durable remissions of du-

ration up to 2 years. Sirolimus, temsirolimus, and everolimus are structurally similar and may be therapeutically equivalent for PEComa, but this may not be the case.^{25,26} All three drugs have led to complete responses as single agents in different PEComa patients. Both sirolimus and everolimus have the advantage of being orally administered, and the dosage of sirolimus can be guided by serum levels permitting adjustment in dosage to reduce side effects when they occur. These medications represent an important therapeutic option for patients with locally invasive and metastatic PEComa.

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